

FORM PTO-1449

U.S. Dept. of Commerce  
Patent and Trademark OfficeAtty Docket No.  
21657-0002C1Serial No.  
09/705,285

## LIST OF DISCLOSURES CITED BY APPLICANT

(Use several sheets if necessary)

Applicant

Etcheverry et al.

Filing Date

11/01/2000

Group

1653-1646

## U.S. PATENT DOCUMENTS

Examiner Initials		Document Number	Date	Name	Class	Subclass	Filing Date
MDP	*1	4,724,206	09.02.88	Rupp et al.			
	*2	5,096,816	17.03.92	Maiorella			
	*3	5,122,469	16.06.92	Mather et al.			
MDP	*4	5,151,359	29.09.92	Miyahara et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initials		Document Number	Date	Country	Class	Subclass	Translation Yes	No
MDP	*5	0,307,247	15.03.89	EPO				
	*6	0,481,791 A2	22.04.92	EPO				
	*7	387,840	19.09.90	EPO				
	*8	1-257492	13.10.89	JAPAN				
	*9	WO 89/04867	01.06.89	PCT				
	*10	2,251,249	01.07.92	UNITED KINGDOM				
MDP	*11	GB 2,122,207	11.01.84	UNITED KINGDOM				

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MDP	*12	Andersen et al., "The Effect of Ammonium Ion on the O-Linked Glycosylation of Granulocyte Colony-Stimulating Factor Produced by CHO Cells" <u>Abstracts of Papers, American Chemical Society</u> pps. 169 (1993)					
	*13	Borys et al., "Ammonia Affects the Glycosylation Patterns of Recombinant Mouse Placental Lactogen-I By Chinese Hamster Ovary Cells in a pH-Dependent Manner" <u>Biotechnology and Bioengineering</u> 43:505-514 (1994)					
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	*16	Cox et al., "Effect of Media Composition on the Induction of Chorionic Gonadotropin by Sodium Butyrate in HeLa Cells" <u>In Vitro</u> 19(1):1-6 (1983)					
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MICHAEL PARK

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↑	*	24	Goochee et al., "Bioprocess Factors Affecting Glycoprotein Oligosaccharide Structure" <u>DNA and Cell Biology</u> 76:95-104 (1992)
	*	25	Goochee et al., "Environmental Effects on Protein Glycosylation" <u>Bio/Technology</u> 8:421-427 (1990)
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	*	31	Hart, "Glycosylation" <u>Current Opinion in Cell Biology</u> 4:1017-1023 (1992)
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	*	34	Howard et al., "Soluble Tumor Necrosis Factor Receptor: Inhibition of Human Immunodeficiency Virus Activation" <u>Proc. Natl. Acad. Sci. USA</u> 90:2335-2339 (1993)
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	*	36	Klehr et al., "Scaffold-Attached Regions (SAR Elements) Mediate Transcriptional Effects Due to Butyrate" <u>Biochemistry</u> 31:3222-3229 (1992)
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	*	40	Milhaud et al., "Sodium Butyrate Affects Expression of Fibronectin on CHO Cells: Specific Increase in Antibody-Complement-Mediated Cytotoxicity" <u>J. Cellular Physiology</u> 104(2):163-170 (1980)
↓	*	41	Mizutani et al., "High Glucose and Hyperosmolarity Increase Platelet-derived Growth Factor mRNA Levels in Cultured Human Vascular Endothelial Cells" <u>Biochemical and Biophysical Research Communications</u> 187(2):664-669 (1992)
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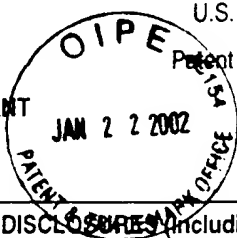
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↑	*	44	Park et al., "Enhanced $\beta$ -Galactosidase Production by High Cell-Density Culture of Recombinant <i>Bacillus subtilis</i> with Glucose Concentration Control" <u>Biotechnology and Bioengineering</u> 40:686-696 (1992)
	*	45	Paulson, "Glycoproteins: What are the Sugar Chains for?" <u>TIBS</u> pps. 272-276 (1989)
	*	46	Peppel et al., "A tumor necrosis factor (TNF) receptor-IgG heavy chain chimeric protein as a Bivalent antagonist of TNF activity" <u>Journal of Experimental Medicine</u> 174:1483-1489 (1991)
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	*	48	Sliwowski et al., "Effect of Culture Conditions on Carbohydrate Charge Heterogeneity of Recombinant Human Deoxyribonuclease Produced in CHO Cells" <u>American Chemical Society Abstracts</u> BIOT 72:72 (1992)
	*	49	Stubblefield et al., "Effects of Sodium Chloride Concentration on Growth, Biochemical Composition, and Metabolism of HeLa Cells" <u>Cancer Research</u> 20:1646-1655 (1960)
	*	50	Tracey et al., "Anti-cachectin/TNF monoclonal antibodies prevent septic shock during lethal bacteraemia" <u>Nature</u> 330:662-664 (1987)
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	*	54	Werner et al., "Mammalian Cell Cultures Part II: Genetic Engineering, Protein Glycosylation < Fermentation and Process Control" <u>Arzneim-Forsch./Drug Res.</u> 43(II):1242-1249 (1993)
	*	55	Wittwer et al., "Glycosylation at Asn-184 Inhibits the Conversion of Single-Chain to Two-Chain Tissue-Type Plasminogen Activator by Plasmin" <u>Biochemistry</u> 29:4175-4180 (1990)
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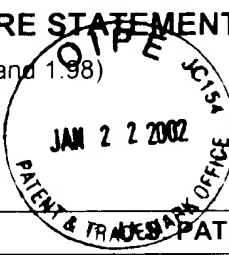
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<b>INFORMATION DISCLOSURE STATEMENT</b> (37 C.F.R. 1.56, 1.97, and 1.98)  SHEET 4 OF 4				ATTORNEY DOCKET		APPLICATION NO.	
				21657-0002C1		09/705,285	
				APPLICANT(S)			
				Tina Etcheverry et al.			
FILING DATE				GROUP			
November 1, 2000				1646 <del>1653</del>			



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† EX'R INITIAL	REF. #	PATENT NUMBER	DATE (MO/YR)	NAME	CLASS/ SUBCLASS	FILING DATE (If appropriate)
Mdp	*58	5,510,261	04/1996	Goochee et al.	435/240.2	
Mdp	*59	5,447,851	09/1995	Beutler et al.	435/69.7	
Mdp	60	5,976,833	11/1999	Furukawa et al.	435/69.1	

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† EX'R INITIAL	REF. #	PATENT NUMBER	DATE (MO/YR)	COUNTRY	TRANSLATION (YES/NO)
Mdp	*61	0 239 292 A1	09/1987	EPO	
Mdp	*62	2 153 830	08/1985	United Kingdom	
Mdp	*63	WO 94/06476	03/1994	PCT	

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† EX'R INITIAL	CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)
Mdp	*64 T.J. Evans et al., "Protective Effect of 55-but not 75-kD Soluble Tumor Necrosis Factor Receptor-Immunoglobulin G Fusion Proteins...", Journal Exp. Med., 180, 2173-2179 (1984).
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EXAMINER'S SIGNATURE  <div style="text-align: center; font-family: cursive;">MICHAEL PWK</div>	DATE CONSIDERED  <div style="text-align: center; font-family: cursive;">3-21-03</div>
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\* If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).